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University of Freiburg doctoral degree regulations for the Faculty of Philology and the Faculty of Humanities

On 23 March 2016 the University of Freiburg Senate passed the following doctoral degree regulations in accordance with section § 38 paragraph (4) item (1) of the state law governing institutions of higher education (Landeshochschulgesetz, LHG) of 1 January 2005 (GBl. p. 1), as amended on 23 February 2016 (GBl. p. 108).

Approved by the Rector on 31 March 2016.

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§ 1 Purpose of doctoral studies and conferring of doctoral degree

(1) Formal doctoral studies demonstrate an ability to conduct in-depth academic work in one of the subjects listed in attachment 1 of these doctoral degree regulations. It is based on an independent piece of academic work (doctoral thesis) and an oral defense of the contents of the doctoral thesis. On the basis of a pass in the doctoral examination process, the University of Freiburg's Faculties of Philology and Humanities confer the academic degree of Doctor of Philosophy (Doctor philosophiae – Dr. phil.); women may use the title in the feminine form.

(2) Doctoral studies may be carried out within a recognized doctoral training program (e.g., Graduiertenkolleg or Graduiertenschule); or outside of such a program.

(3) Doctoral studies may not exceed six years. In justified cases the doctoral examination committee may extend the deadline by a maximum of two years upon written application by the doctoral candidate prior to the expiry of the deadline; the application must include good reasons for the extension and a statement by the responsible supervisor. If the maximum duration has been exceeded, admission as a doctoral candidate is extinguished unless the doctoral examination process has already been launched. Protection provisions and leaves of absence in accordance with section § 20 are not counted towards the duration of doctoral studies.

(4) Persons who aim to complete a thesis and who have been accepted as doctoral candidates must register with the Registration Office; upon application they may be enrolled as doctoral students.

§ 2 Doctoral examination committee

(1) The doctoral examination committee is responsible for the organization of the doctoral qualification process, particularly for decisions on the acceptance of doctoral candidates, the appointment of supervisors, the launch of the doctoral examination process, the choice of reviewers, the appointment of examination board members, overseeing the prompt carrying out of the doctoral qualification process, as well as documenting the number of doctoral candidates at the Faculties of Philology and the Humanities; and it is responsible for all tasks assigned to it under these doctoral degree regulations. In all other matters, the responsibilities of the head of the doctoral examination committee remain unaffected.

(2) ¹Members of the doctoral examination committee are appointed by the joint committee of the Faculties of Philology, the Humanities, and Economics and Behavioral Sciences (Gemeinsame Kommission). ²The doctoral examination committee is composed of seven members of academic teaching staff and a further lecturer or research fellow who holds a doctorate, as well as a doctoral candidate (in an advisory capacity); they must be members of the Faculty of Philology or the Faculty of the Humanities. The doctoral candidate has a term of office of one year; the other members three years. Reappointment is permitted. The joint committee appoints the same number of deputies who fulfill the requirements under item (2). The joint committee selects a professor on the doctoral examination committee to be its head and a further professor to be his/her deputy.

(3) ¹The doctoral examination committee usually deliberates and makes decisions in a formally convened and conducted meeting; its meetings are not open to the public. The doctoral examination committee has a quorum when all members have been formally notified and a majority of members is present and entitled to vote. Decisions are passed with a simple majority of the vote. In the event of a tie, the committee head's vote decides the matter. Decisions may be made in a written or electronic process, if no member disagrees and at least two-thirds of members participate. In decisions on the regulation of examinations and in particular decisions on the revocation of a doctoral degree, abstentions, secret ballots and the transferring of the right to vote are not permissible.

(4) Members of the doctoral examination committee and their deputies are obliged to maintain confidentiality in matters of assessment and/ or when the duty to maintain confidentiality has been specifically decided. The duty to maintain confidentiality includes keeping secret the documentation of the committee's deliberations, and it continues after membership of the committee ends.

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(5) The doctoral examination committee must ensure transparency and quality in the awarding of grades. The doctoral examination committee must deliver a report to the faculty boards of the Faculty of Philology and Faculty of the Humanities every five years on its measures to ensure transparency and quality in the awarding of grades; this report is to be made available to the other faculties by the Vice-President for Research in order to share results and further develop quality assurance measures.

(6) The doctoral examination committee may revocably transfer the execution of certain tasks to the committee head or his/her deputy; this is not true for decisions on the acceptance of doctoral candidates, the retraction and revocation of acceptance of a doctoral candidate, the invalidity of doctoral work for assessment, the revocation of a doctoral degree, or in the case of objections.

(7) The doctoral examination committee is an administrative authority under Germany's administrative procedures and court procedures laws.

§ 3 Reviewers and examiners

(1) ¹The following may be appointed as reviewers of a doctoral thesis and/ or as examiners in the oral defense of a thesis: The academic staff, adjunct professors, extraordinary professors, emeritus professors, retired professors as well as academics with a habilitation, of the Faculty of Philology and the Faculty of the Humanities. ²The doctoral examination committee may also temporarily appoint - as reviewers and examiners in the doctoral examination process - full-time working group directors involved in research at the Faculties of Philology and the Humanities who have a special qualification for academic work or other academic staff members employed full-time at the faculty and who have shown academic excellence within the framework of academic review processes. For this they are required to have demonstrated a special qualification for academic work with a thesis of outstanding quality or a piece of academic work at least its equivalent and via an external review by a recognized research-sponsoring institution.

(2) ¹The doctoral examination committee may furthermore appoint members of other University of Freiburg faculties or other institutions of higher education in Germany or abroad, or members of research institutions, as reviewers and examiners. ²In the case of these which go beyond the disciplines represented by the Faculties of Philology and the Humanities, members of other faculties are to be included among the reviewers and examiners. The requirements set out in paragraph (1) apply accordingly to appointments made under items (¹) and (²) above.

(3) In each doctoral examination process, at least one reviewer must meet the prerequisite set out in paragraph (1) item (¹). If more than two reviewers are appointed, a majority of them must be members of the University of Freiburg.

§ 4 Supervision of doctoral thesis

(1) The doctoral examination committee appoints a responsible supervisor for each doctoral candidate; the responsible supervisor is the sole or first supervisor. In consultation with the responsible supervisor the doctoral examination committee may appoint a further academic supervisor as second supervisor.

(2) Responsible supervisors must be such persons as may also be appointed as reviewers under section § 3 paragraph (1). Second supervisors may be such persons as may also be appointed as reviewers under section § 3 paragraph (1) or (2). If a junior researcher without habilitation is appointed as the responsible supervisor under section § 3 paragraph (1) item (²), as second supervisor a person must be appointed who meets the requirements under section § 3 paragraph (1) item (¹). If a member of the academic staff of the Faculties of Philology and the Humanities retires or otherwise withdraws from office, he or she may continue to exercise his/her rights and carry out his/her duties in connection with that office for up to three years after withdrawal; the doctoral examination committee may extend that period to a maximum of five years as required.

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(3) The prospective supervisor or supervisors (if two are to be appointed) and prospective doctoral candidate conclude a supervision agreement using the form provided by the joint committee; the agreement must include the following:

1. a timetable appropriate to the thesis project and the candidate's personal situation; this timetable must include regular formal meetings with the supervisor and regular status reports, and it must be regularly updated;
2. details of the individual program of study,
3. a mutual commitment to observe the rules of good academic practice,
4. rules governing the solving of disputes, and
5. the review period(s), which are to be fixed upon submission of the thesis.

(4) The written supervision agreement only comes into effect once the doctoral candidate has been accepted.

(5) If the doctoral studies are part of a structured doctoral training program, the supervision agreement requires the approval of the head of the responsible program (Graduierertenkolleg or Graduiertenschule), in all other cases it requires the approval of the head of the doctoral examination committee.

§ 5 Prerequisites for admission to doctoral studies

(1) ¹To be admitted to doctoral studies, the applicant

1. must have obtained a degree qualifying him/her for doctoral studies
 - a) in a Master's program at a German institution of higher education,
 - b) in a degree course at a German university, a College of Education or Art with a prescribed minimum period of study of at least four years or
 - c) in a graduate program following on from Bachelor's Degree studies at a German university, a College of Education or other institution of higher education with the right to confer doctorates,
2. must show that the prior degree has a substantial academic relevance to the desired subject for doctoral studies,
3. in the case of a degree in a Bachelor program with a prescribed minimum study period of four years in accordance with no. (1)(b), must have completed prior studies with an overall grade of 1.3 and 1.0 in the Bachelor's thesis,
4. may not already be accepted as a doctoral candidate or admitted to doctoral studies in the same subject by another faculty or institution of higher education and has not already passed a corresponding doctoral examination in the same subject at an institution of higher education and
5. may not be unworthy to hold the title of doctor as legally defined.

(2) International degrees must be recognized by the doctoral examination committee. For this recognition, it must be established that the international degree is equivalent to the German degree which would otherwise be required. The decision on equivalency must be in accordance with the equivalency agreements approved by the Standing Conference of the Ministers of Education (and Cultural Affairs of the Länder in the Federal Republic of Germany) and the German Rectors' Conference as well as agreements within the framework of partnerships between institutions of higher education. If there are doubts about equivalency, the relevant authority (Zentralstelle für ausländisches Bildungswesen) may be consulted. If the equivalency of an international degree cannot be established because individual coursework and assessment cannot be documented, the applicant may be admitted to doctoral studies if the undocumented coursework and assessment may be performed in retrospect following a recommendation of appraisal by the Zentralstelle für ausländisches Bildungswesen within the framework of an aptitude test, and the appraisal is completed successfully; the appraisal may not exceed two semesters.

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(3) Particularly qualified graduates of Bachelor's and Staatsexamen programs who are not covered by paragraph (1)(1)(b) may be admitted as doctoral candidates if they completed their studies with an overall grade of 1.0 and the relevant thesis was graded 1.0, if they have received a positive statement from a member of academic staff in the desired subject for doctoral studies, and the doctoral examination committee agrees unanimously to admit them to doctoral studies.

(4) Particularly qualified graduates of diploma programs at a university of applied science, a university of cooperative education or the Notarakademie Baden-Württemberg may be admitted to doctoral studies by the doctoral examination committee if they demonstrate in an aptitude test that they are fundamentally capable of academic work in the subject in which the doctoral thesis is proposed to the same extent as required of graduates under paragraph (1). Admission to the aptitude test assumes that the applicant completed his/her prior studies with an overall grade of 1.3 and achieved a grade of 1.0 in the thesis, and that a member of academic teaching staff, an extraordinary professor, or a lecturer from the relevant discipline approves of the admission and has declared his/her willingness to supervise the thesis. The work to be carried out within the framework of the aptitude test is set in consideration of the prospective thesis topic in consultation with the prospective responsible supervisor and the representative of the relevant subject on the doctoral examination committee; at least two advanced seminars (Hauptseminare) or Master's-level seminars must be documented and concluded with a grade of "good" or better. The aptitude test process must not exceed two semesters.

§ 6 Acceptance as a doctoral candidate

(1) A student who intends to complete a doctoral thesis and meets the requirements under section § 5 must apply as early as possible for acceptance as a doctoral candidate. A written application describing the thesis topic must be submitted to the doctoral examination committee. If the thesis topic covers more than one subject, and one of the subjects is taught in a faculty other than the Faculty of Philology or the Faculty of the Humanities, the application is to be lodged with one of the relevant faculties only. Accordingly in such a case of a multi-faculty thesis, either the Faculties of Philology and Humanities or the other relevant faculty will confer the doctoral degree. The faculties involved must decide on this before the applicant is accepted as a doctoral candidate.

(2) The application must be accompanied by:

1. documentation of compliance with admission requirements under section § 5;
2. the supervision agreement under section § 4 paragraph (3);
3. a current curriculum vitae including a summary of personal and professional development;
4. a declaration of acknowledgement of the current doctoral degree regulations of the Faculty of Philology and Faculty of the Humanities;
5. a declaration on current or previous applications for admission to doctoral studies, specifying the time, topic and faculty or department to which the application was made and where appropriate giving a reason why the process was not completed, or providing a notarized copy of the doctoral degree certificate if a doctorate has already been conferred;
6. for non-German applicants where appropriate, documentation of a knowledge of German or English sufficient for doctoral studies in the desired subject.

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3) ¹The doctoral examination committee usually decides on applications within three months and notifies the applicant in writing of its decision. ²Applications will be rejected if

1. the admission requirements under section § 5 have not been met;
2. the proposed thesis topic does not fit the subject orientation of the Faculty of Philology or the Faculty of the Humanities or there is no member of the faculties meeting the requirements under section § 3 paragraph (1) who is able to judge the specialties of the thesis topic,
3. none of the members of the Faculty of Philology or the Faculty of the Humanities under section § 3 paragraph (1) consider the proposed topic worthy of a doctoral thesis or the applicant's prior education appropriate or
4. the availability of the materials/ equipment necessary to carry out the doctoral project cannot be guaranteed.

(4) ¹If the applicant is accepted as a doctoral candidate, he/she receives written notification. ²At the same time as it makes a decision to accept a candidate, the doctoral examination committee appoints the academic described in the supervision agreement as the supervisor. If the doctoral examination committee decides to reject an application, reasons for the decision must be given in writing and must include information on the right to appeal.

(5) ¹Admittance as a doctoral candidate may be revoked if

1. the supervision agreement under § 4 paragraph (3) is invalid or is rescinded,
2. reasons for a rejection of the application for acceptance as a doctoral candidate arise in retrospect,
3. there is no prospect of the doctoral thesis being completed successfully in an appropriate period of time, or
4. the doctoral candidate is in severe violation of his/her duties under the supervision agreement (§ 4 paragraph (3)).

Acceptance as a doctoral candidate may be revoked under item (1)(4) above only if the formal process of consulting an ombudsman has been concluded without success and no new supervision agreement has been signed.

(6) By accepting a person as a doctoral candidate, the Faculty of Philology and Faculty of the Humanities express their basic willingness to evaluate a thesis on the proposed topic as a piece of academic work. Accepting a person as a doctoral candidate obliges the faculties to provide academic supervision for the candidate.

(7) Acceptance as a doctoral candidate does not confer any legal right to be admitted to the doctoral examination process.

(8) The responsible supervisor must ensure that the doctoral candidate is familiarized with the rules of good academic practice.

(9) Two years after the applicant's acceptance as a doctoral candidate the responsible supervisor reviews the case to see if the doctoral project may be continued, and communicates the result of this review to the doctoral examination committee.

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§ 7 Launch of the doctoral examination process

(1) ¹The launch of the doctoral examination process assumes that the doctoral candidate continues to meet the admission prerequisites set out in section § 5. ²The application to begin the doctoral examination process (review and oral defense of the thesis) must be submitted in writing to the doctoral examination committee. ³The application must be accompanied by:

1. the notice of acceptance as a doctoral candidate under section § 6 paragraph (4) item (2);
2. the current supervision agreement under section § 4 paragraph (3);
3. a current curriculum vitae including a summary of personal and professional development;
4. six copies of the thesis in printed and bound form; the doctoral examination committee may require - generally or in individual cases - that the thesis is also submitted in electronic form, and to specify the relevant technical requirements;
5. a declaration of any prior or current attempts to obtain a doctoral degree, giving details of the place, date, institution of higher education, and thesis topic, as well as a notarized copy of the degree certificate for any previously obtained doctoral degree;
6. a statutory declaration in accordance with attachment 2 of these doctoral degree regulations;
7. a copy of the legal notice of the significance and legal consequences of making a statutory declaration, provided by the joint committee and signed by the doctoral candidate;
8. in the case of a group project, a report by the doctoral candidate on the process of collaboration, giving details on the candidate's individual contribution to the joint project, and including details of the names, academic degrees, and addresses of the persons participating in the group project, as well as information on whether and/or which of these persons has applied to start or has already completed a doctoral or habilitation qualification process which used parts of the group project presented here;
9. a declaration on the form of oral defense selected under section § 10 paragraph (3);
10. where appropriate, a complete list of academic publications and lectures;
11. where appropriate, documentation of successful completion of an individual study program in accordance with section § 4 paragraph (3)(2).

(2) The application may be retracted once only, and then only by means of a written declaration. There may be no retraction if a review has already been submitted to the responsible office or if more than four weeks have passed since the appointment of the reviewers.

(3) One of the requirements for the application described in paragraph (1) may only be repudiated if there is a legal reason for revoking the doctoral degree. This is to be decided by the doctoral examination committee.

(4) The board of examiners will decide on admission to the doctoral examination process. The doctoral examination committee may transfer this decision to the head of the committee in cases which present no particular difficulties. The doctoral candidate is to receive written notice of admission. If admission is not approved, the reason for the decision must be given in writing to the doctoral candidate together with information on the right to appeal.

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§ 8 Doctoral thesis

(1) The thesis topic must be selected from a research area formally represented at the Faculty of Philology or the Faculty of the Humanities. The thesis must demonstrate the candidate's ability to perform independent, in-depth academic work and it must represent a substantial contribution to the advancement of academic knowledge.

(2) Usually the doctoral thesis must be written in German. It may be submitted in English or French with the approval of the responsible supervisor. In justified cases the doctoral examination committee may, upon application by the doctoral candidate, permit a thesis to be completed in another language, if the review process can be ensured within the Faculty of Philology and the Faculty of the Humanities and a "reading commission" whose members have sufficient knowledge of the language can be formed in accordance with section § 9 paragraph (7). The application must be submitted to the doctoral examination committee, giving reasons, prior to completion of the thesis, together with a statement by the responsible supervisor. If the thesis is written in a language other than German, a brief abstract in German must be appended.

(3) ¹The thesis must be composed as a monograph. ²In the doctoral subjects listed in attachment 3 of these doctoral degree regulations, the doctoral examination committee may instead allow submission of a cumulative thesis of at least three interrelated pieces of academic work, upon application by the doctoral candidate; this application must be approved by at least three members of academic staff who represent the doctoral subject selected. Pieces of work collated into a cumulative thesis must have arisen from a common academic issue and must have been published in or accepted by reviewed, internationally-recognized journals. Usually, the doctoral candidate must be the sole author of at least two of these pieces of academic work, and none of the pieces of work may have been written jointly with one of the supervisors or reviewers of the thesis; the doctoral examination committee will decide whether to allow exceptions upon application by the doctoral candidate; this application must be accompanied by explanatory statements from the members of academic staff under item (2). None of the pieces of work submitted may be the subject of another thesis or of a current or completed doctoral examination process by the doctoral candidate. The works must be prefaced by a detailed outline placing the research topics in critical relation and the most important findings from the publications in the context of the academic literature on the topic, and where appropriate, appraising the candidate's own individual contribution as well as the contribution of the other author or authors of each publication. In the case of joint research work, the doctoral candidate's individual performance must be clearly delineated and assessable.

(4) If the thesis is part of a joint project, each doctoral candidate must have completed his/her part independently and on his/her sole responsibility. His/her individual performance must be clearly delineated and assessable and in its content equivalent to a conventional thesis written by one person.

(5) A monograph which has been wholly or partly published cannot be accepted as a doctoral thesis. The doctoral examination committee may decide to allow exceptions - provided that no more than three years have passed since the work was first released. The doctoral examination committee decides how the requirements regarding the duty to publish under section § 3 may be accommodated.

(6) The thesis must contain a title page in accordance with the template provided by the joint committee, a table of contents, an abstract, and a detailed register of sources and literature used.

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§ 9 Review of doctoral thesis

(1) If the doctoral candidate has been admitted to the doctoral examination process, the doctoral examination committee appoints a first reviewer and a second reviewer to assess the thesis. The first reviewer is usually the responsible supervisor of the thesis. The second reviewer is selected by the doctoral examination committee in consultation with the first reviewer.

(2) Reviewers gauge whether the thesis submitted may be accepted as assessment in the doctoral examination process, whether it must be rejected or sent back for revision. They assess the academic performance in a written, well-reasoned review to the doctoral examination committee, usually four months after the reviewer's appointment as a reviewer, and give one of the following grades and corresponding ratings for an acceptable thesis:

1.0/1.3	=	summa cum laude	=	an outstanding performance
1.7/2.0/2.3	=	magna cum laude	=	a performance well above the average requirements
2.7/3.0/3.3	=	cum laude	=	a performance in line with the average requirements
3.7/4.0	=	rite	=	a performance which, despite its failings, nevertheless meets the requirements

If the thesis is rejected because of considerable defects and an overall insufficient performance, the grade given is 5.0 ("non probatum").

(3) If the grades given by the two reviewers differ by 1.3 or more, or if one reviewer recommends the thesis be rejected, the doctoral examination committee appoints a third reviewer and informs the first two reviewers. The third reviewer's written report must usually be presented within four months of his/her appointment.

(4) If at least one reviewer recommends a rating of summa cum laude, the doctoral examination committee appoints a third reviewer and informs the previous reviewers. The third reviewer's written report must usually be presented within four months of his/her appointment.

(5) If at least one reviewer recommends the thesis be sent back for revision, the doctoral examination committee appoints a third reviewer and informs the previous reviewers. The third reviewer's written report must usually be presented within four months of his/her appointment. If both reviewers recommend that the thesis be sent back for revision, the doctoral examination committee sets a deadline for submission of the revised thesis and informs the doctoral candidate in writing. In justified cases the doctoral examination committee may extend the deadline upon application by the doctoral candidate. If the deadline passes without the thesis having been resubmitted or a justified application for an extension of the deadline, the thesis counts as rejected.

(6) ¹After all reviews have been received, the thesis together with the reviews is to be made available for inspection at the joint committee's examinations office for at least two weeks during semester and at least three weeks during semester break. ²Theses shall not be made available for inspection for longer than four weeks. ³The doctoral examination committee notifies, in writing or electronically, persons entitled to conduct examinations at the Faculty of Philology and Faculty of the Humanities under section § 3 paragraph (1) item (¹) of the time and location in which a thesis is made available for inspection. ⁴These persons authorized to inspect the thesis have the right to make an objection, in writing, by the end of the inspection period, to one or more of the evaluations of the thesis. ⁵Reasons for such an objection must be given in writing within one week of the objection being lodged. ⁶If the objection is justified, the doctoral examination committee decides whether to reject the objection or to appoint an additional reviewer. ⁷This additional reviewer must present his/her review within a period of four months. The doctoral examination committee may decide generally or in individual cases that a thesis along with the reviewers' reports is made available for inspection by those entitled to inspect it electronically, additionally to the on-paper inspection period under item (¹), or instead of it.

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(7) At the same time as the inspection period, the doctoral examination committee appoints three persons entitled to inspect the thesis under paragraph (6) as members of the reading committee, to whom the thesis and reviewers' reports are made available for comment; at least one member of the reading committee must be a member of academic staff, one member of the reading committee may not be from the doctoral subject. Members of the reading committee make a written statement on the evaluations within four weeks. If a member of the reading committee makes an objection to one or more of the evaluations of the thesis, he/she must give reasons in writing. If there is a justified objection, paragraph (6) items (6) and (7) must be followed.

(8) The doctoral examination committee decides whether to accept or reject the thesis. If the reviewers are unanimous in their decision to recommend acceptance of the thesis, it is thereby accepted. If a majority of reviewers rejects the thesis, it is thereby rejected. In all other cases the doctoral examination committee decides whether to accept or reject a doctoral thesis under consideration of the reviewers' statements and reports and the grades recommended therein.

(9) ¹If the thesis is accepted, the overall grade is established by calculating the arithmetic mean of the individual grades, including any assessment of "non probatum." ²This average includes whole numbers and the first decimal place only; all further decimal places are deleted with no rounding. ³The ratings are defined as follows:

for an average of 1.3 or less:	summa cum laude
for an average of 1.3 to 2.5,	magna cum laude
for an average of 2.5 to 3.5,	cum laude
for an average of 3.5 to 4.0,	rite

(10) If the thesis is rejected, the doctoral examination process has been concluded unsuccessfully. If the thesis is rejected, the doctoral candidate receives written notification of this from the doctoral examination committee. This notification must include reasons for the denial and instructions on the right to appeal. In this case, the doctoral candidate may apply just once more to launch a doctoral examination process; this must be on a different topic and application for it may not take place within one year. If that thesis is also rejected, the candidate has failed the doctoral examination with no option to retry.

§ 10 Oral defense

(1) Following acceptance of the doctoral thesis the doctoral examination committee appoints an examination board and sets a date for the oral defense. The oral defense must take place within four months. The date and time of the oral defense must be communicated in good time; in justified exceptional cases, notification of the time and date of the oral defense may be given less than a week before the event.

(2) The examination board consists of the reviewers of the doctoral thesis and one further examiner for the oral defense. If an examination board member is prevented from attending the oral defense, the head of the doctoral examination committee appoints an academically appropriate deputy who meets the requirements under section § 3 paragraph (1) or (2). A majority of members of the examination board must belong to the Faculty of Philology or the Faculty of the Humanities. The examination board is headed by a member appointed by the doctoral examination committee; this person must be a member of the academic staff. Neither the responsible supervisor nor the first reviewer may be appointed as head of the board. The examination board deliberates and decides in closed session. Its decisions must be be minuted and go on file.

(3) In the oral defense, which runs for approximately one hour, the doctoral candidate must demonstrate his/her ability to explain critical aspects of research verbally. The candidate may choose to hold the oral defense either as a disputation or as a subject-related oral examination.

(4) In the case of a disputation the candidate defends his/her doctoral thesis before the examination board. It begins with an approximately 15 minute report on the thesis by the candidate; this is immediately followed by an in-depth academic discussion on the thesis including questions on method and content connected with the thesis.

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(5) In the oral examination, which is conducted by the examination board, approximately 20 minutes are allocated for a discussion of the thesis. Some 40 minutes of the oral examination focus on subject-specific issues; the candidate is expected to demonstrate a comprehensive overview of the doctoral subject and detailed knowledge of two subareas within it which are not closely related to one another.

(6) The oral defense must usually be conducted in German. If all members of the examination board assent and the doctoral candidate so desires, the oral defense may be held in English or in French. In the study of languages other than German, the oral defense may be conducted wholly or partly in the relevant language; the agreement of the doctoral candidate is not required.

(7) The main points dealt with and the results of the oral examination must be noted by a member of the examination board in German in the minutes of the proceedings, which must be signed by the examiners.

(8) The oral defense is open to all members of the Faculty of Philology and the Faculty of the Humanities. Public observers must be excluded if there is good cause to do so or if the doctoral candidate applies for such exclusion. Public attendance does not extend to deliberations or the announcement of the examination result.

(9) Immediately following the oral defense, the examination board makes the decision on whether the oral defense was concluded successfully. Each member of the examination board gives a grade in accordance with section § 9 paragraph (2). The grade for the oral defense is calculated from the arithmetic mean of the individual assessments. The oral defense is a pass only if the average of all grades given is at worst "rite" (4.0). Section § 9 paragraph (9) items (2) and (3) apply accordingly.

(10) Members of the examination board are obligated to maintain silence on matters which become known to them in closed session, unless the matter in question is already a matter of public knowledge or not of a significance requiring confidentiality. The duty to maintain confidentiality due to contractual employment obligations remains unaffected.

§ 11 Failing and repeating the oral defense

(1) If the oral defense is a fail or counts as a fail, the doctoral examination committee issues written notification, which must include reasons for the decision and instructions on the right to appeal. The oral defense may be repeated once within one year from the effective date of the committee's written notification. The application for admission to a repeat exam must be submitted to the doctoral examination committee two months after notification at the earliest.

(2) The doctoral examination process is unsuccessfully concluded and the doctoral examination failed with no option to retry if, within one year of the effective date of the exam notification, no application is made for a repeat of the oral defense or the candidate fails the repeat oral defense. In justified cases the doctoral examination committee may extend the deadline for the repeat exam upon application by the doctoral candidate; the application must be lodged before the deadline.

(3) If the entire doctoral examination process has been unsuccessfully concluded the doctoral candidate receives written notification of this from the doctoral examination committee. This notification must include reasons for the decision and instructions on the right to appeal.

This is a courtesy translation.

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§ 12 Overall rating

(1) Once the oral defense has been passed, the doctoral examination committee determines the overall grade and overall rating of the doctoral studies. In the calculation of the overall grade of doctoral studies, the grade for doctoral thesis under section § 9 paragraph (9) comprises 80 percent and the grade for the oral defense under section § 10 paragraph (9) 20 percent; section § 9 paragraph (9) items (2) and (3) apply accordingly. An overall rating of summa cum laude may only be given if the thesis has received a rating of summa cum laude.

(2) If the doctoral examination process has been concluded successfully, the doctoral examination committee usually issues the successful candidate with a preliminary certificate documenting a pass in the doctoral examination within three weeks of the oral defense. This certificate details the title and evaluation of the doctoral thesis, the evaluation of oral assessment and the overall evaluation of assessed doctoral work. This preliminary certificate must include a note to the effect that the holder is not yet entitled to use the title of doctor.

§ 13 Publication of doctoral thesis

(1) The doctoral candidate must make the doctoral thesis available to the academic public in an appropriate manner via duplication and distribution within two years from successful completion of the oral defense in a version approved by the first reviewer.

(2) The thesis must be presented to the first reviewer before publication for print approval. The first reviewer must decide within three months whether to give print approval; the print approval must be submitted on an official form and kept on the doctoral file. If the first reviewer rejects the version of the doctoral thesis planned for publication, the doctoral examination committee shall decide upon application by the candidate.

(3) The thesis may be translated into another language for publication if the doctoral examination committee gives its approval.

(4) The doctoral candidate has fulfilled his/her duty to publish if he/she delivers free of charge the following number of archive copies, which must be printed on aging-resistant, wood-free, acid-free paper and with permanent binding:

1. four copies to the doctoral examination committee and
2. to the Freiburg university library
- a) for electronic publication via the Freiburg university library research information system FreiDok plus, one printed paper copy in copyable type together with an electronic version whose data format and data storage medium meet Freiburg university library specifications; the doctoral candidate must ensure that the electronic version corresponds to the printed paper copy;
- c) for publication in an academic journal: one copy;
- c) for publishing by a commercial publisher via booksellers and with documentation of a minimum print run of 150 copies and identification of the publication as a thesis, listing the thesis location in the imprint: one copy;
- d) for publication of individual pieces of work in a cumulative thesis in academic journals: three printed paper copies of the entire thesis.

In the case of item (1)(2)(a) the doctoral candidate grants the University the non-exclusive right within the framework of the university library's statutory duties to produce and distribute further copies of the doctoral thesis and to make the thesis available in data networks.

(5) The front of the title page of the archive copies must in accordance with the template provided by the doctoral examination committee. The back of the title page must detail the names of the reviewers and the head of the doctoral examination committee along with the date of the oral defense as the day of the completion of the doctoral exam. If the title is later changed, the title page must state what the original title was.

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(6) If the doctoral candidate fails to meet the deadline or to obtain print approval from the first reviewer, or fails to meet the deadline under paragraph (1), all rights obtained via the doctoral examination are extinguished; if the doctoral degree certificate has been issued, it must be confiscated. In justified cases the doctoral examination committee may extend the deadline by a maximum of five years upon application prior to the expiry of the deadline.

§ 14 Completion of doctoral studies; degree certificate

(1) ¹The doctorate is completed with the presentation of the degree certificate. The doctoral degree certificate is signed by the Rector of the University of Freiburg and the Dean of the faculty which is responsible for the relevant discipline and bears the seal of the Faculty of Philology and the Faculty of the Humanities. It is usually issued in Latin and sets out the degree obtained, the overall rating for doctoral studies, the title and rating of the doctoral thesis, as well as the name, date and place of birth of the holder; the date of the oral defense is given as the date of doctorate completion. Upon application by the doctoral candidate the doctoral degree certificate is issued in German; the application must be submitted, at the latest, together with the application for the launch of the doctoral examination process.

(2) ¹The doctoral degree certificate will only be issued when the doctoral candidate has delivered the required archive copies of the doctoral thesis to the doctoral examination committee and to the Freiburg university library. The presentation must be conducted in an appropriate manner. Prior to presentation of the doctoral degree certificate, the candidate does not have the right to use the title of doctor, not even while stating that it is conditional or designated.

(3) Notwithstanding paragraph (2) item (¹) the doctoral examination committee may in agree to the earlier issuing of the doctoral degree certificate upon application by the candidate if there is a binding publishing contract, the ready-for-press manuscript has been presented to the publisher, and the publisher has made a binding declaration to the doctoral examination committee that the printing and financing are assured and that the required archive copies will be sent to the doctoral examination committee and the Freiburg university library. This applies also if there is a binding publication contract with the University of Freiburg and the thesis has been presented to the Freiburg university library as set out in section § 13 paragraph (4) item (¹)(2)(a).

(4) Procedural regulations based on international agreements remain unaffected.

§ 15 Withdrawal from the oral defense

(1) If the doctoral candidate fails to take part in all or part of the oral defense, this is considered a withdrawal.

(2) If the doctoral candidate is prevented from taking part in the oral defense by illness or any other good cause, withdrawal will be approved upon application. The doctoral candidate must submit this application to the doctoral examination committee at the earliest possible opportunity, giving the reason for withdrawal and providing appropriate documentation. In the case of illness the application must be accompanied by a doctor's certificate detailing the medical indications required for an evaluation of the candidate's inability to take the exam.

(3) If the withdrawal is approved by the doctoral examination committee, a new examination date is set. If the withdrawal is not approved, the oral defense counts as failed.

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§ 16 Retraction and revocation of admission to doctoral studies; invalidity of assessed work

(1) If it is shown prior to presentation of the doctoral degree certificate that the doctoral candidate has used deception regarding a requirement for admission or that significant requirements for admission were wrongly assumed to have been met, admission to doctoral studies may be cancelled. The same applies if facts become known which would justify the revocation of the doctoral degree under section § 36 paragraph (7) Landeshochschulgesetz.

(2) If it is shown prior to presentation of the doctoral degree certificate that the doctoral candidate has used deception in a piece of assessment for the doctorate, parts or all of the doctoral assessment may be declared invalid; in severe cases, admission to doctoral studies may be retracted.

(3) Before any decision is made under paragraphs (1) or (2), the doctoral candidate must be given an opportunity to speak on the matter. The reason for the decision must be given in writing to the doctoral candidate together with information on the right to appeal.

§ 17 Revocation of the doctoral degree

(1) The revocation of the doctoral degree must be in accordance with section § 36 paragraph (7) Landeshochschulgesetz and section § 48 Landesverwaltungsverfahrensgesetz.

(2) If the requirements for admission to the doctoral examination process were not met, and the applicant did not intend to deceive, and if the fact becomes known only after the doctoral degree certificate has been issued, this fault is remedied by the candidate having passed the doctoral examination.

(3) Before a decision is made to revoke a doctoral degree, the person concerned must be given the opportunity to speak on the matter. The reason for the decision must be given in writing to the person concerned together with information on the right to appeal.

(4) If the doctoral degree is revoked, any doctoral degree certificate already presented must be confiscated.

(5) The revocation of a doctoral degree may be communicated with the necessary details by the Rector to all German institutions of higher education which have the right to confer doctorates.

§ 18 Irregularity in proceedings; objections

(1) Irregularities in the doctoral examination process must be brought before the doctoral examination committee at the earliest possible opportunity.

(2) The person concerned may lodge an objection to notifications negatively affecting him/her, and which are based on these doctoral degree regulations, with the doctoral examination committee in writing within one month of the notification. The doctoral examination committee shall decide on the objection, where appropriate after consultation with the examination board.

(3) Administrative court procedure (Verwaltungsgerichtsordnung) regulations apply to the objection procedure.

§ 19 Consulting an ombudsperson

(1) The ombudspersons appointed by the University of Freiburg senate are the contact persons for all doctoral candidates at the Faculty of Philology and the Faculty of the Humanities and for their supervisors in all conflicts arising from the supervisory relationship and from work on a doctoral thesis.

(2) The procedure of consulting an ombudsperson is regulated in the University of Freiburg general statutes.

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§ 20 Protection provisions

(1) The application by a doctoral candidate for statutory time off under § 3 paragraph (1) and § 6 paragraph (1) of the Mutterschutzgesetz/ Maternity Protection Act in the version published on 20 June 2002 (BGBl. I p. 2318), as amended, must be taken into consideration. The application must include the necessary documentation. Maternity protection provisions suspend all other deadlines in these doctoral degree regulations.

(2) Similarly, provisions for parenting leave under § 15 paragraphs (1) to (3) of the relevant law (Bundeselterngeld- und Elternzeitgesetz) of 5 December 2006 (BGBl. I p. 2748), as amended, must be taken into consideration upon application. The doctoral candidate must communicate to the doctoral examination committee the period in which he/she plans to take parenting leave, providing the necessary documentation, at least four weeks before the planned start date of the parenting leave. The doctoral examination committee must establish whether the legal requirements are met which would trigger the right to parenting leave in an employment situation, and notifies the doctoral candidate of the result as well as any new examination or other deadlines.

(3) Recourse to the protection provisions for care of a close relative as defined by § 7 paragraph (3) under the law governing nursing care periods (Pflegezeitgesetz of 28 May 2008 (BGBl. I p. 874, 896) as amended) when that relative is in need of care as defined by sections §§ 14 and 15 of Book Eleven of the Social Code (SGB XI of 26 May 1994 (BGBl. I p. 1014, 1015) as amended) is permitted.

§ 21 Disadvantage compensation

(1) ¹Doctoral candidates with a disability or chronic illness which impedes them in performing work required for doctoral studies are to be allowed reasonable disadvantage compensation by the doctoral examination committee, upon application. ²The doctoral examination committee determines the form and scope of the compensation measure in accordance with the severity of the documented disability or illness which impedes the performance of work required for doctoral studies. Compensation measures may comprise in particular a reasonable extension of the exam deadlines set out in these doctoral degree regulations; reasonable compensation may also be allowed additionally or alternatively in some other form.

(2) An application for disadvantage compensation must be submitted, at the latest, together with the application for the launch of the doctoral examination process. Documentation of the disability or illness as defined by paragraph (1) item (1) must be provided by a doctor's certificate detailing the necessary medical indications. In general or in individual cases the doctoral examination committee may request a medical certificate from a doctor who it nominates.

(3) Before the doctoral examination committee makes a decision under paragraph (1) item (2) in disputed cases it must consult the university's representative for students with disabilities or chronic illnesses, if the doctoral candidate agrees.

§ 22 Storage of examination files

(1) After successful conclusion of the doctoral examination process the documentation relating to it, including the thesis, are placed on the joint committee's files.

(2) If the thesis is rejected or if the doctoral examination is failed with no option to retry, the thesis and all related documentation remain in the joint committee files.

(3) Documentation relating to the examination must be kept on file by the joint committee for five years. After expiry of this period of storage the exam documentation must be delivered to the University Archive in accordance with paragraph (1) and the exam documentation offered to it in accordance with paragraph (2).

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§ 23 Inspection of doctoral files

The doctoral candidate has the right to read the files on his/her doctoral examination process within one year of its completion.

§ 24 Doctoral studies jointly supervised with other universities

(1) The doctoral examination process may be conducted jointly with one or more other universities inside or outside Germany on the basis of an agreement between the University of Freiburg and the other university or universities. Any such cooperation agreement must be signed by the doctoral candidate and, on behalf of the University of Freiburg, by the supervisor, the head of the doctoral examination committee, and the Rector. The cooperation agreement must regulate the following matters in particular:

1. the nature of the academic supervision of the doctoral candidate,
2. the minimum required of the candidate's research periods at the partner university or universities,
3. rules for assessment including: the language to be used, how the examination board is to be appointed, and which grading scheme is to apply,
4. rules governing the presentation of the doctoral degree certificate,
5. the duty to publish the doctoral thesis,
6. who is to pay travel expenses.

(2) For doctorates conducted by the University of Freiburg in joint supervision with other institutions of higher education with the right to confer doctorates, the general provisions of these doctoral degree regulations apply, if not otherwise provided for below.

(3) The doctoral candidate is supervised by a member of academic teaching staff from the other institution of higher education and by a member of academic teaching staff from the Faculty of Philology or the Faculty of the Humanities who meets the requirements under section § 3 paragraph (1) item (1).

(4) The doctoral candidate decides - in consultation with the supervisors of the thesis - at which of the participating institutions the doctoral examination process is to be conducted.

(5) The participating faculties and institutions of higher education must be listed on the back of the title page.

(6) For the reviewing of the thesis, the conducting of the oral defense, the determining of the overall result in the doctoral examination, and the option of repeating doctoral studies, the provisions of the institution of higher education at which the doctoral examination process is being conducted apply; however, the following conditions must be met:

1. If the doctoral examination process is not conducted at the University of Freiburg, it must be ensured that at least one academic representative of the University of Freiburg Faculty of Philology or the Faculty of the Humanities who meets the requirements under section § 3 paragraph (1) participates in the other institution of higher education's doctoral examination process.
2. If the doctoral examination process is not conducted at the University of Freiburg, at least one academic representative of the other institution or one of the other institutions involved who meets the requirements under section § 3 paragraph (2) must be appointed as a reviewer or examiner.

(7) ¹The doctoral degree certificate contains the names and signatures of the persons designated in accordance with the doctoral degree certificate of the participating institutions of higher education and bears the seal of the Faculty of Philology and the Faculty of the Humanities as well as the seal of the other participating institution(s) of higher education and/or faculties. ²It contains the designation of the academic degree Doctor philosophiae as well as the corresponding foreign academic degree, where appropriate. ³The doctoral degree certificate must include a note to the effect that the doctorate was obtained under joint supervision by two or more universities. If two doctoral degree certificates are issued, items (1) to (3) apply accordingly.

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(8) With the receipt of the doctoral degree certificate the holder obtains the right to use the title of doctor in the Federal Republic of Germany, and in the case of a joint doctoral degree with an institution of higher education outside Germany, the right to also use an equivalent title in the state the other institution belongs to. The holder thereby obtains the right to use one doctoral title only.

(9) For the publication of the thesis and the number of archive copies, the cooperation agreement may refer to the right of the other institution(s) of higher education. It must be ensured that the doctoral examination committee receives at least four archive copies and that the Freiburg university library receives the necessary number of archive copies, depending on the type of publication under section § 13 paragraph (4) item (1)(2).

§ 25 Doctoral convention

(1) The doctoral candidates accepted for doctoral studies at the Faculty of Philology and the Faculty of the Humanities comprise a joint doctoral convention.

(2) The doctoral convention may debate matters affecting doctoral candidates and make recommendations to university bodies. The draft doctoral degree regulations are made available to the doctoral convention for comment; the comments are included in the senate documentation.

(3) The doctoral convention makes its own rules of procedure and elects an executive board. The details of how the doctoral convention is organized and how its executive board is elected are set out in the convention's rules of procedure, which are passed by a majority of the doctoral convention members present.

(4) Two members of the doctoral convention executive board may participate in an advisory capacity in meetings of the joint committee for consultations on draft faculty doctoral degree regulations.

§ 26 Doctoral jubilee

The Faculties of Philology and the Humanities may renew a doctorate with a degree certificate on the twenty-fifth and the fiftieth anniversary of the doctoral qualification day; the decision is made by the joint committee.

§ 27 Honorary doctorates

(1) As a rare distinction, the Faculties of Philology and the Humanities may confer an honorary Doctorate of Philosophy (Doctor philosophiae honoris causa – Dr. phil. h.c.) for outstanding academic or artistic services of importance to subjects in the Faculties of Philology and/or the Humanities; women may use the title in the feminine form.

(2) In consultation with the Senate, the joint committee decides on the conferring of an honorary doctorate, and on the revoking of an honorary doctorate under § 17 with the necessary changes.

(3) An application for the conferring of an honorary doctorate must be made in writing to the head of the doctoral examination committee by one or more members of academic staff of the Faculty of Philology or of the Faculty of the Humanities. The application must contain:

- a) a biography of the person to receive the honorary doctorate,
- b) a publication list of the person to receive the honorary doctorate,
- c) comprehensive reasons for the award and
- d) a draft of the honorary doctoral certificate.

After reviewing the application for completeness, the doctoral examination committee sends the application on to the joint committee.

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- (4) The joint committee appoints a commission to review the requirements for an honorary doctorate and to produce a report for the adoption of a resolution by the joint committee. The members of the commission are: the applicant or one of the applicants to be their representative, three persons authorized to undertake assessment under section § 3 paragraph (1) item (1), one lecturer or research fellow who holds a doctorate from the Faculty of Philology or the Faculty of the Humanities, as well as one doctoral candidate from one of these faculties (in an advisory capacity).
- (5) The joint committee takes the commission's report into consideration and decides on the application by a two-thirds majority of its members who are entitled to vote.
- (6) The person to receive the honor is only informed of the planned honorary doctorate after the process has been fully completed. The honorary doctorate is offered to him/her by the head of the joint committee.
- (7) An honorary doctorate is to be conferred by the ceremonial presentation of the honorary doctoral certificate by the head of the joint committee in the presence of members of the Faculty of Philology and/or the Faculty of the Humanities. The certificate must detail the merits of the person receiving the doctorate. The doctoral degree certificate is signed by the Rector of the University of Freiburg and the Dean of the faculty which is responsible for the relevant discipline under paragraph (1) and bears the seal of the Faculty of Philology and the Faculty of the Humanities.

§ 28 Effective date and transitional arrangements

- (1) These doctoral degree regulations come into force on 1 April 2016. The University of Freiburg doctoral degree regulations for the Faculties of Philology and the Humanities of 20 January 1999 (Amtliche Bekanntmachungen Vol. 1999, no. 3, p. 58), last amended on 30 August 2013 (Amtliche Bekanntmachungen Vol. 44, no. 81, pp. 713–717), become invalid concurrently.
- (2) The previous regulations apply to doctoral examination processes which had already been launched at the time these doctoral degree regulations come into effect or whose launch had been applied for at that time.
- (3) The previous regulations apply to doctoral candidates who have been accepted to doctoral studies at the time these doctoral degree regulations come into effect but have yet to make application to be admitted to the doctoral examination process, unless the doctoral candidate formally requests for these doctoral degree regulations to apply.

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Attachment 1

(regarding section § 1 paragraph (1) item (1))

I. Catalogue of doctoral subjects

1. Ältere deutsche Literatur und Sprache
2. Allgemeine und Vergleichende Literatur- und Kulturwissenschaft
3. Alte Geschichte
4. Altorientalische Philologie
5. Anglistische Sprachwissenschaft/English Linguistics
6. Christliche Archäologie und Byzantinische Kunstgeschichte
7. Englische und Amerikanische Literatur- und Kulturwissenschaft/English and American Studies
8. Ethnologie
9. Europäische Ethnologie
10. Frühgeschichtliche Archäologie und Archäologie des Mittelalters
11. Geographie
12. Germanistische Linguistik
13. Griechische Philologie
14. Indogermanische Sprachwissenschaft
15. Interdisziplinäre Anthropologie
16. Islamwissenschaft
17. Judaistik
18. Klassische Archäologie
19. Kunstgeschichte
20. Lateinische Philologie
21. Lateinische Philologie des Mittelalters
22. Linguistik
23. Medienkulturwissenschaft
24. Mittelalterliche Geschichte
25. Musikwissenschaft
26. Neuere deutsche Literaturgeschichte
27. Neuere und Neueste Geschichte
28. Osteuropäische Geschichte
29. Philosophie
30. Politikwissenschaft
31. Provinzialrömische Archäologie
32. Romanische Philologie
33. Sinologie
34. Skandinavische Philologie
35. Slavistik
36. Soziologie
37. Urgeschichtliche Archäologie
38. Vorderasiatische Archäologie
39. Wirtschafts-, Sozial- und Umweltgeschichte

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II. Doctoral subjects by faculty

1. Faculty of Philology

- Ältere deutsche Literatur und Sprache
- Allgemeine und Vergleichende Literatur- und Kulturwissenschaft
- Anglistische Sprachwissenschaft/English Linguistics
- Englische und Amerikanische Literatur- und Kulturwissenschaft/English and American Studies
- Germanistische Linguistik
- Griechische Philologie
- Indogermanische Sprachwissenschaft
- Lateinische Philologie
- Lateinische Philologie des Mittelalters
- Linguistik
- Medienkulturwissenschaft
- Neuere deutsche Literaturgeschichte
- Romanische Philologie
- Skandinavische Philologie
- Slavistik

2. Faculty of Philosophy

- Alte Geschichte
- Altorientalische Philologie
- Christliche Archäologie und Byzantinische Kunstgeschichte
- Ethnologie
- Europäische Ethnologie
- Frühgeschichtliche Archäologie und Archäologie des Mittelalters
- Geographie
- Interdisziplinäre Anthropologie
- Islamwissenschaft
- Judaistik
- Klassische Archäologie
- Kunstgeschichte
- Mittelalterliche Geschichte
- Musikwissenschaft
- Neuere und Neueste Geschichte
- Osteuropäische Geschichte
- Philosophie
- Politikwissenschaft
- Provinzialrömische Archäologie
- Sinologie
- Soziologie
- Urgeschichtliche Archäologie
- Vorderasiatische Archäologie
- Wirtschafts-, Sozial- und Umweltgeschichte

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Attachment 2

(regarding section § 7 paragraph (1) item (3)(6))

Statutory declaration

The statutory declaration must usually be submitted in writing. The option of making a statutory declaration to be minuted remains unaffected. The written declaration is worded as follows:

“Statutory declaration

in accordance with § 7 (1)(3)(6) of the University of Freiburg's doctoral degree regulations for the Faculties of Philology and Humanities

1. The doctoral thesis herewith submitted with the following topic:

is my own independent work.

2. I have used only the sources and resources listed therein and have not made use of any inadmissible help from any third party. In particular, I have clearly identified matter from other works, cited verbatim or paraphrased, as such.

3. The doctoral thesis or parts thereof

(Please mark relevant box with an x)

- have not been presented at any institution of higher education in Germany or abroad as part of assessment for an examination or qualification.
- have been presented at any institution of higher education in Germany or abroad as part of assessment for an examination or qualification.

Title of the work presented for assessment somewhere else:

Name of relevant institution of higher education:

Year in which the work was presented:

Type of assessment for examination or qualification:

4. I hereby confirm that the above declaration is true.

5. I am aware of the significance of the statutory declaration and that I am liable to criminal prosecution if my statutory declaration is untrue or incomplete.

I solemnly swear that to the best of my knowledge this information is true and that I have not concealed any relevant information.

Place and date

Signature”

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Attachment 3

(regarding section § 8 paragraph (3) item (2))

Catalogue of doctoral subjects in which a cumulative thesis may be submitted

1. Anglistische Sprachwissenschaft/English Linguistics
2. Germanistische Linguistik
3. Linguistik
4. Politikwissenschaft
5. Slavistik
6. Soziologie